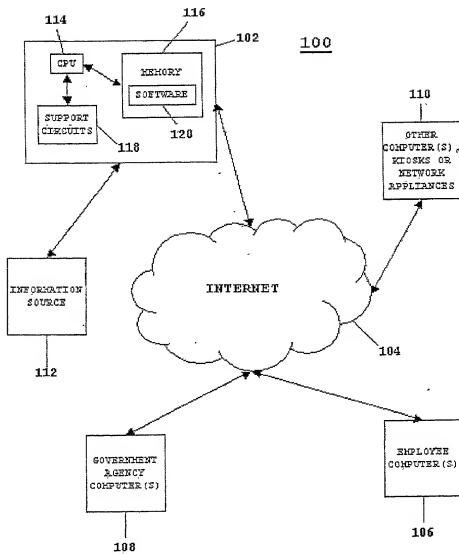


FIGURE 1



120

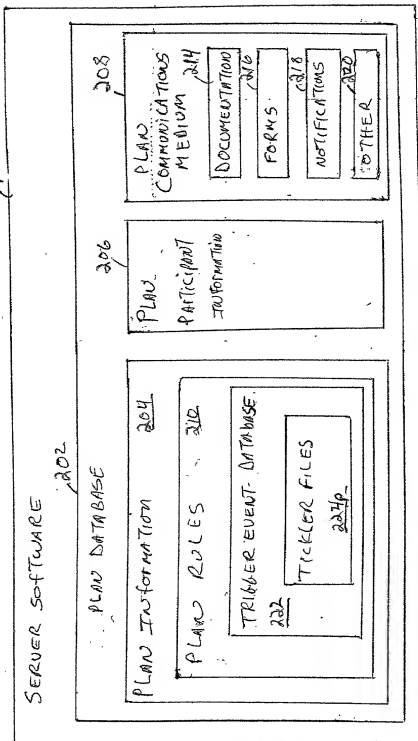


FIG. 2



400

F(6,4

WHAT	WHO	WHEN	REQUIRED FIELDS	TYPES OF PLANS APPLICABLE TO	NOTES
402, Enrollment Form	404, eligible employees based on age, service, classification, etc. per plan document	406, before entry date	Name of Employee Social Security Number Date of birth Date of hire Employer's EIN 3-digit Plan Number Plan's minimum age requirement Plan's minimum service requirement Hours of service Breaks in Service Employment Status	410, all plans which require or permit employee contributions; some that do not	412, won't apply to most defined benefit plans or money purchase plans
Beneficiary Designation Form	eligible employees alternate payees beneficiaries of deceased participants, if plan has provisions for	before entry date and as requested	Name of employee Employee marital status Social Security Number Employer EIN 3-Digit Plan Number Employee's enrollment date Date of request Employee Date of birth Employment Status	almost all plans	some defined benefit plans will pay no benefit in the event of death a single participant and only benefit to spouse if married and such a plan would not require this form
Spousal Consent to Naming Another Beneficiary Form	eligible employees	as part of beneficiary designation form or separate form	Name of employee Employee marital status Social Security Number Employer EIN 3-Digit Plan Number Prior consent on file? Employee Date of birth Employee date of enrollment Employee date of hire Employee's employment status	plans which permit naming another beneficiary (most plans do)	

506

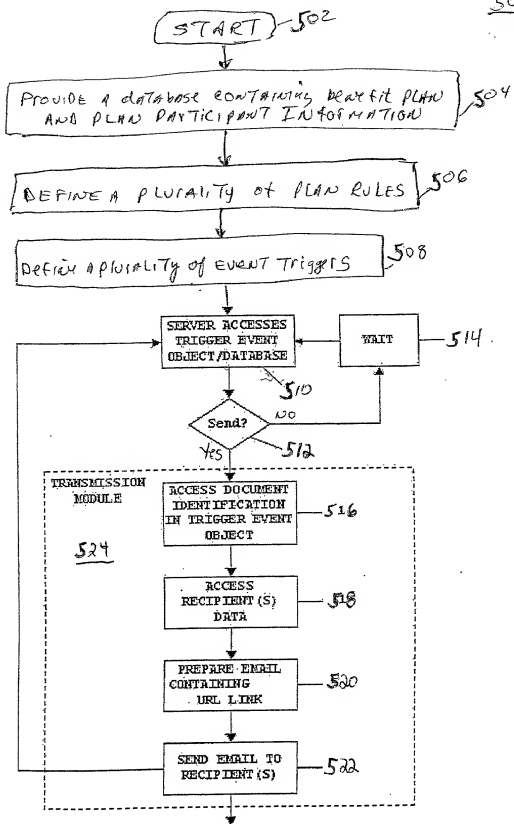


FIG. 5A



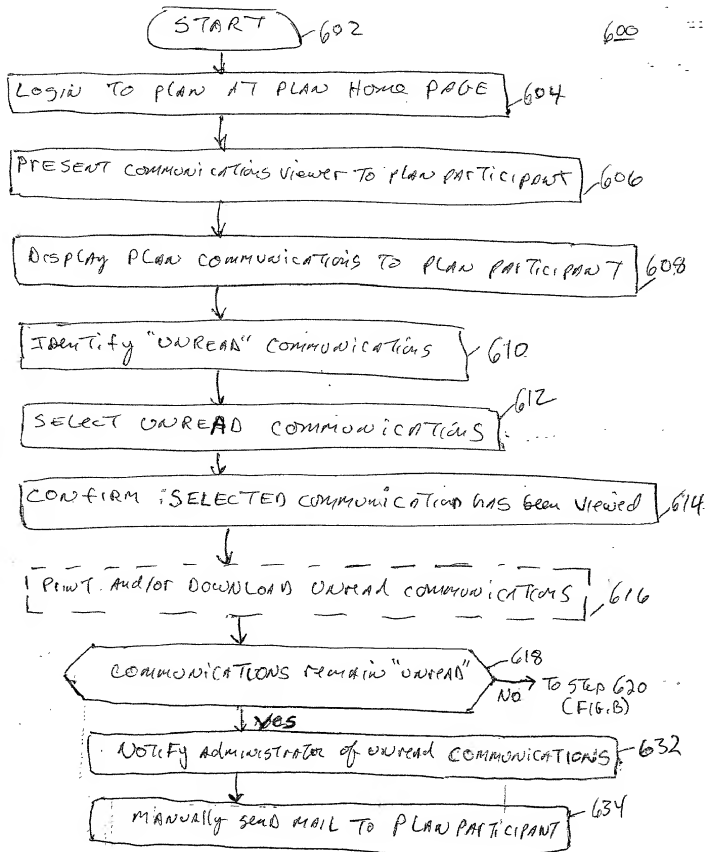


FIG. 6A

FROM STEP 610  
(FIG. 6A)

606

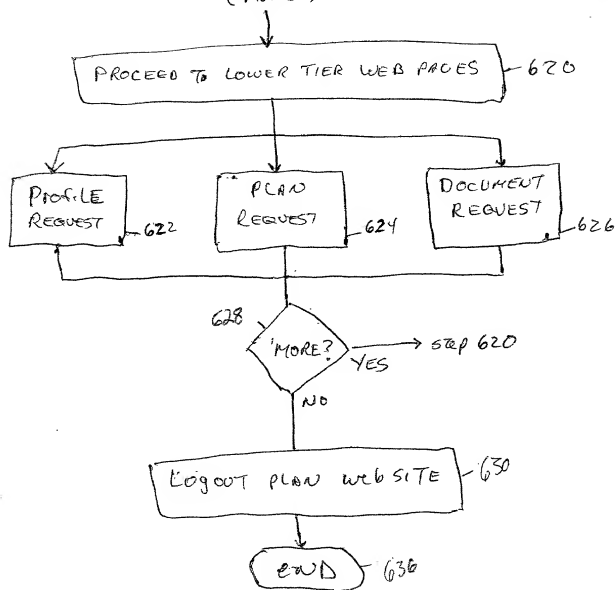


FIG. 6B



# SITE HIERARCHY

FIG. 7

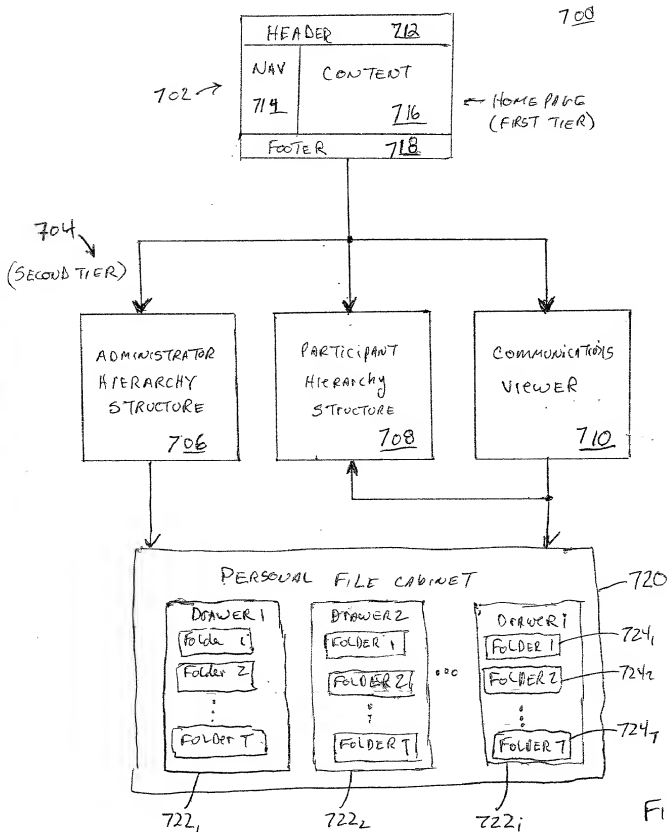


FIG. 7

# PARTICIPANT Hierarchy

800

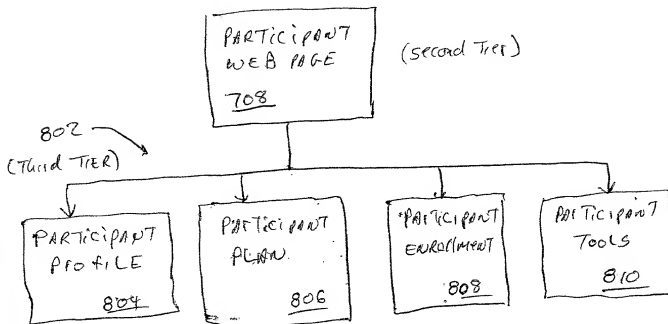
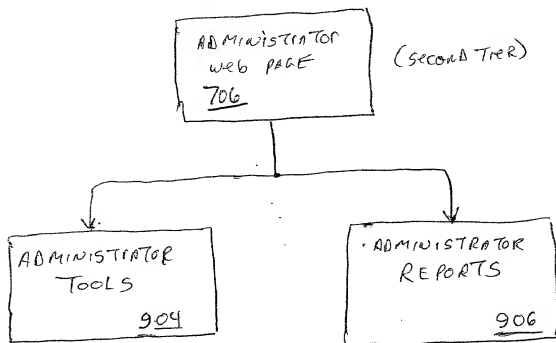


FIG. 8

# ADMINISTRATOR SITE Hierarchy

900



902  
(Third Tier)

FIG. 9